

03-17-04

ATTORNEY DOCKET

PATENT APPLICATION

057439.0155

10/750,705



1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Philip S. Siegel

Serial Number:

10/750,705

Date Filed:

January 2, 2004

Examiner:

Unknown

Title:

RETURN CENTERS WITH RULES-BASED
DISPOSITIONING OF MERCHANDISE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail No. EV352391234US addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Shannon Judice

Shannon Judice

March 18, 2004

Date

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/796,337, filed February 28, 2001 by Philip S. Siegel, and entitled *Method and System for Processing the Local Return of Remotely Purchased Products*, be considered and cited in the examination of the above-identified application. Pursuant to 37 C.F.R. § 1.98(d), copies of the references are not being furnished. Applicant is enclosing copies of certain references listed in the attached PTO-1449 form which were not previously cited in the above-mentioned patent application. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

ATTORNEY DOCKET
067439.0155

PATENT APPLICATION
10/750,705

2

Applicant believes no fees are due for this Information Disclosure Statement, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicant



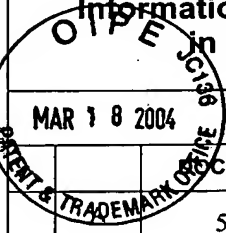
Ann C. Livingston
Reg. No. 32,479

Customer Number **31625**

Correspondence Address:

Ann Livingston
Baker Botts L.L.P.
1500 San Jacinto Center
98 San Jacinto Blvd.
Austin, Texas 78701
(512) 322-2634

Date: 3/16/04

PTO-1449		Application No. 10/750,705		Applicant(s) Philip S. Siegel			
Information Disclosure Citation in an Application 		Docket Number 067439.0155		Group Art Unit Unknown	Filing Date 01/02/04		
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
		5715399	2/3/98	Bezos	395	227	5/30/1995
	B	5715314	2/3/98	Payne, et al.	380	24	10/24/1994
	C	5724424	3/3/98	Gifford	380	24	11/29/1995
	D	5727163	3/10/98	Bezos	395	227	3/30/1995
	E	5812668	9/22/98	Weber	380	24	6/17/1996
	F	5815657	9/29/98	Williams, et al.	395	186	4/26/1996
	G	5828840	10/27/98	Cowan, et al.	395	200.33	8/6/1996
	H	5850446	12/15/98	Berger, et al.	395	24	6/17/1996
	I	5860068	1/12/99	Cook	705	26	12/4/1997
	J	5878139	3/2/99	Rosen	380	24	10/16/1996
	K	5889863	3/30/99	Weber	380	25	6/17/1996
	L	5937394	8/10/99	Wong, et al.	705	26	11/17/1997
	M	5943424	8/24/99	Berger, et al.	380	25	6/17/1996
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	N						
	O						
	P						
	Q						
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	R	UPS Autumn 2002 Forum, "The Far East is not so far," 12259 UPS Forum. ENG/SPEC 4 pages					10/17/02
	S	U.S. Patent Application Serial No. 10/659,465 entitled "Method and System Using Return Labels with Dynamically Generated Multiple Datapoint Coding", filed by Edward J. Stashluk Jr. et al. on 9/10/03. (Attorney Docket No. 067439.0141)					9/10/03
	T	U.S. Patent Application Serial No. 10/697,485 entitled "Merchandise Return System with Value Added Returns Processing (Data Communication)", filed by Edward J. Stashluk, Jr. on 10/30/03. (Attorney Docket 067439.0138)					10/30/03
	U	U.S. Patent Application Serial No. 10/751,216 entitled "On-Line Merchandise Return Labels", filed by Philip S. Siegel on 01/02/04 (Attorney Docket 067439.0157)					01/02/04
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

PTO-1449		Application No. 10/750,705		Applicant(s) Philip S. Siegel			
Information Disclosure Citation in an Application		Docket Number 067439.0155		Group Art Unit Unknown	Filing Date 01/02/04		
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5960411	9/28/99	Hartman, et al.	705	26	9/12/1997
	B	5963949	10/5/99	Gupta, et al.	707	100	12/22/1997
	C	5963916	10/5/99	Kaplan	705	26	10/31/1996
	D	5963924	10/5/99	Williams, et al.	705	40	4/26/1996
	E	5970469	10/19/99	Scroggie, et al.	705	14	3/26/1996
	F	5983208	11/9/99	Haller, et al.	705	40	6/17/1996
	G	5987132	11/16/99	Rowney	380	24	6/17/1996
	H	5987140	11/16/99	Rowney, et al.	380	49	4/26/1996
	I	5999924	12/7/99	Bair, et al.	707	4	6/25/1997
	J	6003024	12/14/99	Bair, et al.	707	3	11/5/1997
	K	6002767	12/14/99	Kramer	380	24	6/17/1996
	L	6006225	12/21/99	Bowman, et al.	707	5	9/1/1998
	M	6016484	1/18/00	Williams, et al.	705	39	4/26/1996
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	N						
	O						
	P						
	Q						
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	R	U.S. Patent Application Serial No. 10/750,935 entitled "On-Line Rules-Based Return Processing", filed by Philip S. Siegel on 01/02/04. (Attorney Docket 067439.0158)					01/02/04
	S						
	T						
	U						
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

PTO-1449 Information Disclosure Citation in an Application			Application No.		Applicant(s)		
			10/750,705		Philip S. Siegel		
			Docket Number		Group Art Unit	Filing Date	
			067439.0155		Unknown		01/02/04

U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2001/0032143	10/18/01	Haseltine	705	26	12/29/2000
	B	2001/0037247	11/1/01	Haseltine	705	22	3/12/2001
	C	2002/0128915	9/12/02	Haseltine	705	26	12/6/2000
	D	6616189	9/9/03	Raming	283	81	6/8/2001
	E	6536659	3/25/03	Hauser et al.	235	375	11/15/2000
	F	6547136	4/15/03	Sansone	235	385	11/27/2000
	G	2002/0095306	7/18/02	Smith et al.	705	1	9/28/2001
	H	2002/0032573	3/14/02	Williams, et al.	705	1	3/27/2001
	I	2002/0152093	10/17/02	Chalmers et al.	705	1	3/13/2002
	J	2002/0138356	9/26/02	Dutta et al.	705	26	3/26/2001
	K	2002/0032612	3/14/02	Williams, et al.	705	26	3/27/2001
	L	2003/0023496	1/30/03	De Mol Van Otterloo et al.	705	22	7/5/2002

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	N						
	O						
	P						
	Q						

NON-PATENT DOCUMENTS		
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R	
	S	
	T	
	U	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449		Application No. 10/750,705		Applicant(s) Philip S. Siegel	
Information Disclosure Citation in an Application		Docket Number 067439.0155		Group Art Unit Unknown	Filing Date 01/02/04

U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2001/0047315	11/29/01	Siegel	705	28	3/26/2001
	B	2001/0032147	10/18/01	Siegel	705	26	2/28/2001
	C	2002/0178076	11/28/02	Ross	705	26	5/24/2001
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						
	M						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N							
	O							
	P							
	Q							

NON-PATENT DOCUMENTS		
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R	
	S	
	T	
	U	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.